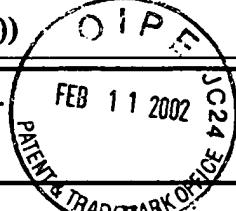


M

03C07

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

 Docket No.
 POU920010173US1

 In Re Application Of: **Robert J. Dugan and Giles R. Frazier**


Serial No.	Filing Date	Examiner	Group Art Unit
10/043,489	January 11, 2002		

Title: Method and Apparatus for a Non-Disruptive Recovery of a Single Partition in a Multipartitioned Data Processing System

Address to:

 Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

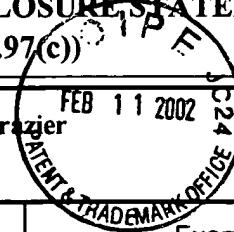
the fee set forth in 37 CFR 1.17(p).

- The Examiner's attention is directed to US 6,327,621 B1, which is assigned to IBM and is thus not a reference under 35 USC 103(c) but which describes an invention which was first public use more than one year prior to the date of the present application.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
POU920010173US1

In Re Application: Robert J. Dugan and Giles R. Frazier



Serial No.	Filing Date	Examiner	Group Art Unit
10/043,489	January 11, 2002		

Method and Apparatus for a Non-Disruptive Recovery of a Single Partition in a Multipartitioned

Data Processing System

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.09-0463 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on January 30, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Susan L. Nelson

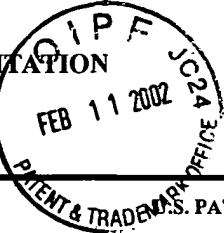
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: Jan 30, 2002

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) POU920010173US1	Application Number 10/043,489		
				Applicant(s) Robert J. Dugan and Giles R. Frazier			
				Filing Date 01-11-2002	Group Art Unit		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5684800	11-4-1997	Dobbins et al.	370	401	11-15-1995
	B	5734652	3-31-1998	Kwok	370	395	9-27-1995
	C	5884017	3-16-1999	Fee	395	182.02	12-29-1995
	D	5917805	6-29-1999	Manning et al.	370	236	7-18-1996
	E	6035414	3-7-2000	Okazawa et al.	714	7	11-3-1997
	F	6084876	7-4-2000	Kwok et al.	370	379	10-28-1998
	G	6131169	10-10-2000	Okazawa et al.	714	7	12-14-1999
	H	6138161	10-24-2000	Reynolds et al.	709	227	2-18-1999
	I	6148004	11-14-2000	Nelson et al.	370	463	2-11-1998
	J	6195703 B1	2-27-2001	Blumenau et al.	709	238	6-24-1998
	K	6327621 B1	12-4-2001	Lee et al.	709	227	7-25-1998
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
L	DE10000123A1	12-26-2000	Germany	G06F3	00	✓	
M	EP0858199A2	8-12-1998	Europe	H04L29	06	✓	
N	WO0017769A1	3-6-2001	World Trade	G06F15	16	✓	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
O	IBM Technical Disclosure Bulletin, 'Physical Address Registers for a Fibre Channel Protocol Chip', GM Nordstrom, Vol. 37, No. 10, October 1994, pp. 607-608						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							